$1 FW /2876$

Practitioner's Docket No. __015570-8__

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Ian Daniel VON FELLENBERG, et al.
Serial No.:   10/521,124   Group No.:   2876
Filed: August 3, 2005   Examiner: J. Franklin
For: SECURITY DOCUMENT AND VERIFICATION METHOD

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

AMENDMENT TRANSMITTAL

1.   Transmitted herewith is an amendment for this application.

STATUS

2.   The application is qualified as

☐   a small entity.

☒   other than a small entity.

CERTIFICATION UNDER 37 C.F.R. 1.8(a) and 1.10*
*(When using Express Mail, the Express Mail label number is **mandatory**;*
Express Mail certification is optional.)

I hereby certify that, on the date shown below, this correspondence is being:

MAILING

☒   deposited with the United States Postal Service in an envelope addressed to the Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.

37 C.F.R. 1.8(a)   **37 C.F.R. 1.10***

☒   with sufficient postage as first class mail.   ☐   as "Express Mail Post Office to Address"
                                                                   Mailing Label No. _____ (mandatory)
                              TRANSMISSION

☐   transmitted by facsimile to the Patent and Trademark Office. to **(571)-273-8300**

Date: __October 23, 2007__   **Signature**

                                                            __William R. Evans__
                                                            (type or print name of person certifying)

• *Only the date of filing (§ 1.6) will be the date used in a patent term adjustment calculation. Consider "Express Mail Post Office to Addressee" (§ 1.10) or facsimile transmission (§ 1.6(d)) for the reply to be accorded the earliest possible filing date for patent term adjustment calculations.*

EXTENSION OF TERM

3.   The proceedings herein are for a patent application and the provisions of 37 C.F.R. 1.136 apply for a term of up to six (6) months.

(complete (a) or (b), as applicable)

(a)   ☐   Applicant petitions for an extension of time under 37 C.F.R. 1.136 (fees: 37 C.F.R. 1.17(a)(1)-(4)) for the total number of months checked below:

	Extension (months)	Fee for other than small entity	Fee for small entity
☐	one month	$ 120.00	$ 60.00
☐	two months	$ 460.00	$ 230.00
☐	three months	$ 1,050.00	$ 525.00
☐	four months	$ 1,630.00	$ 815.00
☐	five months	$ 2,220.00	$ 1,110.00

Fee $ _____

If an additional extension of time is required, please consider this a petition therefor.

(check and complete the next item, if applicable)

☐   An extension for _____ months has already been secured. The fee paid therefor of $ _____ is deducted from the total fee due for the total months of extension now requested.

Extension fee due with this request $ _____

OR

(b)   ☒   Applicant believes that no extension of term is required. However, this is a conditional petition being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition for extension of time.

FEE FOR CLAIMS

4.   The fee for claims (37 C.F.R. 1.16(b)-(d)) has been calculated as shown below:

	(Col. 1)	(Col. 2)	(Col. 3)	SMALL ENTITY		OR	OTHER THAN A SMALL ENTITY	
	Claims Remaining After Amendment	Highest No. Previously Paid For	Present Extra	Rate	Addit. Fee	OR	Rate	Addit. Fee
Total	* Minus	**	=	x $ 25	$		x $ 50=	$
Indep.	* Minus	***	=	x $ 105	$		x $ 210	$
☐ First Presentation of Multiple Dependent Claims				+ $185=	$		+ $370=	$
			Total Addit. Fee		$ ____	OR	Total Addit. Fee	$ ____

*   If the entry in Col. 1 is less than the entry in Col. 2, write "O" in Col. 3,
**   If the "Highest No. Previously Paid For" IN THIS SPACE is less than 20, enter "20".
***   If the "Highest No. Previously Paid For" IN THIS SPACE is less than 3, enter "3".
         The "Highest No. Previously Paid For" (Total or Indep.) is the highest number found in the appropriate box in Col. 1 of a prior amendment or the number of claims originally filed.

WARNING: *"After final rejection or action (§ 1.113) amendments may be made canceling claims or complying with any requirement of form which has been made." 37 C.F.R. 1.116(a) (emphasis added).*

FEE PAYMENT

5. ☒   No additional fee for claims is required.

OR

☐   Total additional fee for claims required $ _____.

☐   Attached is a check in the sum of $_____.

☐   Charge Account No. <u>12-0425</u> the sum of $ _____
A duplicate of this transmittal is attached.

FEE DEFICIENCY OR OVERPAYMENT

NOTE: *If there is a fee deficiency and there is no authorization to charge an account, additional fees are necessary to cover the additional time consumed in making up the original deficiency. If the maximum, six-month period has expired before the deficiency is noted and corrected, the application is held abandoned. In those instances where authorization to charge is included, processing delays are encountered in returning the papers to the PTO Finance Branch in order to apply these charges prior to action on the cases. Authorization to charge the deposit account for any fee deficiency should be checked. See the Notice of April 7, 1986, (1065 O.G. 31-33).*

6. ☒   If any additional extension and/or fee is required, charge Account No. <u>12-0425</u>.

AND/OR

☒   If any additional fee for claims is required, charge Account No. <u>12-0425</u>

AND/OR

☒   Refund any overpayment to Account No. <u>12-0425</u>.

Reg. No.: _____

Tel. No.: (   )

SIGNATURE OF PRACTITIONER

<u>William R. Evans, 25858, (212) 708-1930</u>

(type or print name of practitioner)

P.O. Address

c/o Ladas & Parry LLP
26 West 61ˢᵗ Street
New York, N.Y. 10023

Customer No.:

00140

PATENT TRADEMARK OFFICE

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Ian Daniel VON FELLENBERG, et la.

Serial No.:   10/521,124   Group No.:   2876

Filed: August 3, 2005   Examiner: J. Franklin

For: SECURITY DOCUMENT AND VERIFICATION METHOD

Attorney Docket No.:   U 015570-8

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

RESPONSE TO ACTION OF JULY 23, 2007

Please amend the above application as follows:

CERTIFICATE OF MAILING/TRANSMISSION (37 CFR 1.8a)

I hereby certify that this correspondence is, on the date shown below, being:

MAILING	FACSIMILE
☒ deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450	☐ transmitted by facsimile to the Patent and Trademark Office to (571)-273-8300

Signature

Date: October 23, 2007

William R. Evans
(type or print name of person certifying)